



Project Number-81000999  
FAC Blue River WWTP Facility Plan and Improvements  
Design

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### **CREO Document Upload:#1**

Subject: CUP/LOI Document Upload | Contract WSD1677  
Initiator: Walton, Leona  
Date Created: 06.20.2023 04:31PM  
Department: Water Services

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### **Process Information**

Document Type: CUP/LOI  
Date Submitted: 06.20.2023  
Date Received: 01.27.2023  
Contract Number: WSD1677  
Prime Contractor: CAROLLO ENGINEERS INC  
Amount of Project: 3430112.00  
%MBE 14  
%WBE 14  
%DBE

Special Instructions:

**Civil Rights & Equal Opportunity Department  
Economic Equity & Inclusion  
Contractor Utilization Plan Approval Form**

**Date:** 6/21/2023  
**Form Prepared By:** Leona Walton

Contract/Project Number: 1677/81000999	Developer/Prime: Carollo Engineers, Inc.
Project Name: Blue River WWTP Facility Plan and Improvements Design	Contact Information: Wayne R. Miller/wmiller@carollo.com/816-803-8735
Final Contract Value: \$3,430,000.00	Address: 903 W. 104th Street, Suite 230, KCMO 64131

Funding:  City  State  Federal  CO-OP  Grant:  Other:

Project Requirements:  M/WBE  DBE  Section 3  N/A

Tax Incentive:  LCRA  TIF  PIEA  N/A  Other:

Prevailing Wage:  Yes  No

Davis-Bacon:  Yes  No

Construction Employment Program:  Yes: Workforce goals are 10% Minority & 2% Women. There are over 800 Workforce hours and project cost is \$300,000 or more.  
 No: Workforce hours are less than 800 and project cost is less than \$300,000.

Contract Goals:	Contractor Utilization Plan Achievement:
Self-Perform: ____%	Self-Perform: ____%
MBE: <u>14</u> %	MBE: <u>14</u> %
WBE: <u>14</u> %	WBE: <u>14.10</u> %
Non-certified firms: ____%	Non-certified firms: ____%

Contract Type:

Construction  Design-Build  Design Professional  Professional Services

General Service  Concession  Other Goods & Services  Non-Municipal Agency

Co-Operative  Revenue Sharing  Facilities Maintenance/Repair/Renovation

Other:

Additional Information:  
KC Water PM = Delia Heffernan/delia.heffernan@kcmo.org/816-513-0382. Consultant's contact info is listed on the upper right of this form.

**This document is submitted with all available facts. Intentionally falsifying this document or omitting pertinent facts is grounds for disciplinary action pursuant to KCMO Human Resources Rules & Policy Manual (eff. August 4, 2014).**

**FOR CIVIL RIGHTS & EQUAL OPPORTUNITY DEPARTMENT (CREO) USE ONLY:**

**The Contractor Utilization Plan is:**

Approved  Disapproved

\_\_\_\_\_ % MBE \_\_\_\_\_ % WBE \_\_\_\_\_ % DBE

**The Request for Good Faith Efforts Waiver is:**

Approved  Disapproved  Not Applicable

**Appeal Sent to FICB or Incentive Agency?**  Yes \_\_\_\_\_ FICB \_\_\_\_\_ Incentive Agency  No

CREO Signature: Gabriel Kuer Date: 7/3/2023  
72A9990E20E842D...

**Comments:**



**Civil Rights & Equal Opportunity Department  
Economic Equity & Inclusion  
Nondiscrimination & Equal Opportunity Review Form**

**Date:** 6/21/2023  
**Form Prepared By:** Leona Walton

Contract/Project Number: 1677/81000999	Project Name: Blue River WWTP Facility Plan and Improvements Design
Developer/Prime: Carollo Engineers, Inc.	Contact Information: Wayne R. Miller/wmiller@carollo.com/816-803-8735
Final Contract Value: \$3,430,000.00	Project Manager: Delia Heffernan/delia.heffernan@kcmo.org/816-513-0382

Funding:  City  State  Federal  CO-OP  Grant:  Other:

Project Requirements:  M/WBE  DBE  Section 3  N/A

Tax Incentive:  LCRA  TIF  PIEA  Ch. 100  Other:  N/A

Prevailing Wage:  Yes  No

Davis-Bacon:  Yes  No

Construction Employment Program:  Yes: Workforce goals are 10% Minority & 2% Women. There are over 800 Workforce hours and project cost is \$300,000 or more.  
 No: Workforce hours are less than 800 and project cost is less than \$300,000.

Contracts & Leases	Nondiscrimination
Ch. 3 Article IV: _____	Ch. 38: _____
RSMo 213: _____	Title VI: _____
MWDBE: <u>yes</u>	Prevailing Wage and Labor Standards: <u>n/a</u>
SLBE: <u>n/a</u>	RSMo 34 Anti-Discrimination Against Israel: <u>yes</u>

Contract Type:

Construction  Design-Build  Design Professional  Professional Services

General Service  Concession  Other Goods & Services  Non-Municipal Agency

Co-Operative  Revenue Sharing  Facilities Maintenance/Repair/Renovation

Other:

**Additional Information:**  
KC Water PM = Delia Heffernan/delia.heffernan@kcmo.org/816-513-0382. Consultant's contact info is listed on the upper right of this form.

**This document is submitted with all available facts. Intentionally falsifying this document or omitting pertinent facts is grounds for disciplinary action pursuant to KCMO Human Resources Rules & Policy Manual (eff. August 4, 2014).**

**FOR CIVIL RIGHTS & EQUAL OPPORTUNITY DEPARTMENT (CREO) USE ONLY:**

**The Document is:**

Approved  Disapproved

Changes Needed:

**Federal Provisions Included:**

Approved  Disapproved  Not Applicable

CREO Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Comments:**



## **Civil Rights and Equal Opportunity Department Civil Rights and Wage Assurances**

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**Non-discrimination in Employment.** Contractor shall not discriminate against any employee or candidate for employment on the basis of an individual's race, hair texture or hair style associated with an individual's race, color, sex, religion, national origin, or ancestry, disability, sexual orientation, gender identity, age, or in any other manner prohibited by Chapter 38 of the City Code. Contractor shall not engage in any discrimination as prohibited by Chapter 3 of the City Code.

**Ban the Box in Hiring and Promotion.**

(a) Pursuant to Section 38-104, City Code Ordinances, Contractor shall not base a hiring or promotional decision on an applicant's criminal history or sentence related thereto, unless the employer can demonstrate that the employment-related decision was based on all information available including consideration of the frequency, recentness and severity of a criminal record and that the record was reasonably related to the duties and responsibilities of the position.

(b) Notwithstanding subsection (a), Contractor may inquire about an applicant's criminal history after it has been determined that the individual is otherwise qualified for the position, and only after the applicant has been interviewed for the position. Any such inquiry may be made of all applicants who are within the final selection pool of candidates from which a job will be filled.

(c) This provision shall not apply to positions where employers are required to exclude applicants with certain criminal convictions from employment due to local, state or federal law or regulation.

**Title VI of the Civil Rights Act of 1964.** Title VI of the Civil Rights Act of 1964 requires that no person in the United States shall, on the grounds of race, color, or national or origin (including limited English proficient individuals), be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving federal financial assistance. The City of Kansas City, Missouri requires compliance with the requirements of Title VI in all of its programs and activities regardless of the funding source.

Contractor shall not discriminate on the grounds of race, color, or national or origin (including limited English proficient individuals).

**Quality Services Assurance Act.** If this Contract exceeds \$160,000.00, Contractor certifies Contractor will pay all employees who will work on this Contract in the city limits of Kansas City, Missouri at least \$15.00 per hour in compliance with the City's Quality Services Assurance Act,



## **Civil Rights and Equal Opportunity Department Civil Rights and Wage Assurances**

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Section 3-66, Code of Ordinances or City has granted Contractor an exemption pursuant to the Quality Services Assurance Act.

**Anti-Discrimination Against Israel.** If this Contract exceeds \$100,000.00 and Contractor employs at least ten employees, pursuant to Section 34.600, RSMo., by executing this Contract, Contractor certifies it is not currently engaged in and shall not, for the duration of this contract, engage in a boycott of goods or services from the State of Israel; companies doing business in or with Israel or authorized by, licensed by, or organized under the laws of the State of Israel; or persons or entities doing business in the State of Israel.

**Affirmative Action.** If this Contract exceeds \$300,000.00 and Contractor employs fifty (50) or more people, Contractor shall comply with City's Affirmative Action requirements in accordance with the provisions of Chapter 3 of City's Code, the rules and regulations relating to those sections, and any additions or amendments thereto; in executing any Contract subject to said provisions, Contractor warrants that it has an affirmative action program in place and will maintain the affirmative action program in place for the duration of the Contract. Contractor shall not discriminate against any employee or applicant for employment because of race, color, sex, religion, national origin or ancestry, disability, sexual orientation, gender identity or age in a manner prohibited by Chapter 3 of City's Code. Contractor shall:

- (a) Execute and submit the City of Kansas City, Missouri CREO Affirmative Action Program Affidavit warranting that the Contractor has an affirmative action program in place and will maintain the affirmative action program in place for the duration of the Contract.
- (b) Submit, in print or electronic format, a copy of Contractor's current certificate of compliance to the City's Civil Rights and Equal Opportunity Department (CREO) prior to receiving the first payment under the Contract, unless a copy has already been submitted to CREO at any point within the previous two (2) calendar years. If, and only if, Contractor does not possess a current certification of compliance, Contractor shall submit, in print or electronic format, a copy of its affirmative action program to CREO prior to receiving the first payment under the Contract, unless a copy has already been submitted to CREO at any point within the previous two (2) calendar years.
- (c) Require any Subcontractor awarded a subcontract exceeding \$300,000.00 to affirm that Subcontractor has an affirmative action program in place and will maintain the affirmative action program in place for the duration of the subcontract.
- (d) Obtain from any Subcontractor awarded a subcontract exceeding \$300,000.00 a copy of the Subcontractor's current certificate of compliance and tender a copy of the same, in print or

## **Civil Rights and Equal Opportunity Department Civil Rights and Wage Assurances**

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electronic format, to CREO within thirty (30) days from the date the subcontract is executed. If, and only if, Subcontractor does not possess a current certificate of compliance, Contractor shall obtain a copy of the Subcontractor's affirmative action program and tender a copy of the same, in print or electronic format, to CREO within thirty (30) days from the date the subcontract is executed.

City has the right to take action as directed by City's Civil Rights and Equal Opportunity Department to enforce this provision. If Contractor fails, refuses or neglects to comply with the provisions of Chapter 3 of City's Code, then such failure shall be deemed a total breach of this Contract and this Contract may be terminated, cancelled or suspended, in whole or in part, and Contractor may be declared ineligible for any further contracts funded by City for a period of one (1) year. This is a material term of this Contract.

**Compliance with Laws.** Contractor shall comply with all federal, state and local laws, ordinances and regulations applicable to the work and this Agreement. Contractor shall maintain in effect all the licenses, permissions, authorizations, consents and permits that it needs to carry out its obligations under this Agreement.

**Prevailing Wage.** If the Agreement exceeds \$75,000.00 and any of the Services performed by Contractor includes construction, reconstruction, improvement, enlargement, alteration, painting and decorating, or major repair, that is subject to the Missouri Prevailing Wage Law (Section 290.210, RSMo – 290.340, RSMo), Contractor shall immediately notify the City prior to performing Services so the parties can execute an agreement that incorporates, the appropriate Wage Order. Contractor shall comply with all requirements of Section 290.210, RSMo – 290.340, RSMo even if Contractor fails to notify the City.

## Inter-Departmental Communication

Date: June 30, 2023

To: Mayor Quinton Lucas Chair: Transportation, Infrastructure & Operations Committee

From: Edwina Jones Director Civil Rights & Equal Opportunity Department

Subject: Docket Memo # 81000999/1677

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**CONTRACTOR:** Carollo Engineers, Inc.  
Address: 903 E 104th Street, Suite 230  
Kansas City, MO 64131  
Contract # 81000999/1677– Blue River WWTP Facility  
Plan and Improvements Design  
Contract Amount: \$3,430,000.00  
MBE Goal 14%  
WBE Goal: 14%  
Total MBE Achieved: 14%  
Total WBE Achieved: 14%

### **MBE SUBCONTRACTORS:**

Name: Taliaferro & Browne, Inc.  
Address: 1020 East 8th Street,  
Kansas City, MO 64106  
Scope of Work: Structural and civil engineering  
Dollar Amount: \$181,790  
Ownership: Hagos Andebrhan  
Structure: African American male

### **MBE SUBCONTRACTORS:**

Name: TSi Geotechnical, Inc.  
Address: 8248 NW 101st Terrace, #5,  
Kansas City, MO 64153  
Scope of Work: Review of existing geotechnical reports  
geotechnical recommendations pertaining to the  
projects  
Dollar Amount: \$35,672  
Ownership: Denise Hervey  
Structure: African American male

### **MBE SUBCONTRACTORS:**

Name: Custom Engineering, Inc.

Address: 12760 East U.S. Highway 40,  
Independence, MO 64055  
Scope of Work: Conceptual Design of Electrical, HVAC and  
plumbing systems  
Dollar Amount: \$223,979  
Ownership: Mr. Joseph Davis  
Structure: African American male

**MBE SUBCONTRACTORS:**

Name: Parson + Associates, LLC  
Address: 1518 East 18th Street,  
Kansas City. MO 64108  
Scope of Work: Participation in, and assistance with, meetings and  
development of the public outreach plan  
Dollar Amount: \$37,730  
Ownership: R. Jason Parson  
Structure: African American male

**WBE SUBCONTRACTORS:**

Name: TREKK Design Group, LLC  
Address: 1411 East 104th Street,  
Kansas City, MO 64131  
Scope of Work: Utility survey, LIDAR scanning, topographic survey,  
site civil engineering support  
Dollar Amount: \$298,410  
Ownership: Kimberly Robinett  
Structure: Caucasian female

**WBE SUBCONTRACTORS:**

Name: Environmental Advisors and Engineers. Inc.  
Address: 19211 West 64th Terrace,  
Kansas City. MO 64108  
Scope of Work: Condition assessment of architectural systems at  
the existing Grit Removal Facility  
Dollar Amount: \$118,335  
Ownership: Ashely Stuerke  
Structure: Caucasian female

**WBE SUBCONTRACTORS:**

Name: KMB Engineering, LLC.  
Address: 11412 West 114th Street,  
Overland Park, KS 66210  
Scope of Work: Condition assessment of I&C systems at the  
existing Grit Removal Facility.  
Dollar Amount: \$60,025  
Ownership: Kathleen Buechler  
Structure: Caucasian female



**WBE SUBCONTRACTORS:**

Name: McCurdy Engineers  
Address: 9613 West 123rd Street,  
Overland Park, KS 66213  
Scope of Work: Participation in constructability reviews.  
Dollar Amount: \$68,600  
Ownership: Amy McCurdy  
Structure: Caucasian female