

**Inter-Departmental Communication**

Date: February 20, 2023

To: Mayor Quinton Lucas Chair: Transportation, Infrastructure & Operations Committee

From: Andrea Dorch Director Civil Rights & Equal Opportunity Department

Subject: Docket Memo # 07P23039

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**CONTRACTOR:** Alloy Group (dba Spectrum Environmental LLC)  
Address: 717 Crown Industrial Ct.,  
Chesterfield, MO 63005  
Contract # 07P23039 – City Hall Parking Garage LBP  
Abatement Services  
Contract Amount: \$697,058.00  
MBE Goal 15%  
WBE Goal: 15%  
Total MBE Achieved: 15%  
Total WBE Achieved: 15%

**MBE SUBCONTRACTORS:**

Name: Anlab Environmental  
Address: 3634 Troost Ave, Suite A,  
Kansas City, MO 64109  
Scope of Work: Providing lead abatement crew with laborers local  
#264 union members  
Dollar Amount: \$104,558.70 (\$697,058x15%)  
Ownership:  
Structure:

**WBE SUBCONTRACTORS:**

Name: New Horizons Enterprises, LLC (dba New  
Horizons, llc)  
Address: 2316 Troost Ave,  
Kansas City, MO 64108  
Scope of Work: Environmental monitoring/testing  
equipment/material and hauling/disposal  
Dollar Amount: \$104,558.70 (\$697,058x15%)  
Ownership  
Structure:

**Civil Rights & Equal Opportunity Department  
Economic Equity & Inclusion  
Contractor Utilization Plan Approval Form**

**Date:** 2-13-2023  
**Form Prepared By:** Gnani Mahalingam

Contract/Project Number: 07P23039	Developer/Prime: Alloy Group (dba Spectrum Environmental LLC)
Project Name: City Hall Parking Garage LBP Abatement Services	Contact Information: (636) 383-8328
Final Contract Value: \$697,058.00	Address: 717 Crown Industrial Ct., Chesterfield, MO

Funding:  City  State  Federal  CO-OP  Grant:  Other:

Project Requirements:  M/WBE  DBE  Section 3  N/A

Tax Incentive:  LCRA  TIF  PIEA  N/A  Other:

Prevailing Wage:  Yes  No

Davis-Bacon:  Yes  No

Construction Employment Program:  Yes: Workforce goals are 10% Minority & 2% Women. There are over 800 Workforce hours and project cost is \$300,000 or more.  
 No: Workforce hours are less than 800 and project cost is less than \$300,000.

Contract Goals:	Contractor Utilization Plan Achievement:
Self-Perform: <u>70</u> %	Self-Perform: <u>70</u> %
MBE: <u>15</u> %	MBE: <u>15</u> %
WBE: <u>15</u> %	WBE: <u>15</u> %
Non-certified firms: <u>0</u> %	Non-certified firms: <u>0</u> %

Contract Type:

Construction  Design-Build  Design Professional  Professional Services

General Service  Concession  Other Goods & Services  Non-Municipal Agency

Co-Operative  Revenue Sharing  Facilities Maintenance/Repair/Renovation

Other:

**Additional Information:**  
All contractors, supervisors, and workers performing lead abatement work must be appropriately Certified by the Department of Health and Senior Services. This this significantly reduces the pool of qualified MWBE subcontractors.

**This document is submitted with all available facts. Intentionally falsifying this document or omitting pertinent facts is grounds for disciplinary action pursuant to KCMO Human Resources Rules & Policy Manual (eff. August 4, 2014).**

**FOR CIVIL RIGHTS & EQUAL OPPORTUNITY DEPARTMENT (CREO) USE ONLY:**

**The Contractor Utilization Plan is:**

Approved  Disapproved

15 % MBE 15 % WBE \_\_\_\_\_ % DBE

**The Request for Good Faith Efforts Waiver is:**

Approved  Disapproved  Not Applicable

**Appeal Sent to FICB or Incentive Agency?**  Yes \_\_\_\_\_ FICB \_\_\_\_\_ Incentive Agency  No

CREO Signature: Gabriel Kuer Date: 2/21/2023

**Comments:**



CITY OF FOUNTAINS  
HEART OF THE NATION



KANSAS CITY  
MISSOURI

### CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number 07P23039

Project Title City Hall Parking Garage LBP Abatement Services

General Services Department  
(Department Project)

GSD  
Department

Alloy Group (Spectrum Environmental, LLC)  
(Bidder/Proposer)

STATE OF Missouri )

COUNTY OF Saint Louis ) ss

I, Jay Giesler, of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.

2. The project target goals are 15 % MBE and 15 % WBE.

3. Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

    | **BIDDER/PROPOSER PARTICIPATION:** 15 % MBE 15 %  
    | **WBE**

    | **POST-BID/POST-RFP ESTIMATED BUDGET:** \$ 697,058.00  
    | plus any bid alternates

4. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). (*All firms must currently be certified by Kansas City, Missouri*)

    | Name of M/WBE Firm Anlab Environmental  
    | Address office: 3634 Troost Ave, Suite A, Kansas City, MO 64109 / mail: PO Box 68113, Kansas City, MO 64168  
    | Telephone No. office: 816-756-2214 / cell: 816-918-7362  
    | I.R.S. No. \_\_\_\_\_



| Name of M/WBE Firm New Horizons Enterprises, LLC (dba New Horizons, llc)  
 Address 2316 Troost Ave, Kansas City, MO 64108  
 Telephone No. office: 816-569-5256 / fax: 816-569-5257  
 I.R.S. No. \_\_\_\_\_

| Name of M/WBE Firm \_\_\_\_\_  
 Address \_\_\_\_\_  
 Telephone No. \_\_\_\_\_  
 I.R.S. No. \_\_\_\_\_

| Name of M/WBE Firm \_\_\_\_\_  
 Address \_\_\_\_\_  
 Telephone No. \_\_\_\_\_  
 I.R.S. No. \_\_\_\_\_

| Name of M/WBE Firm \_\_\_\_\_  
 Address \_\_\_\_\_  
 Telephone No. \_\_\_\_\_  
 I.R.S. No. \_\_\_\_\_

| Name of M/WBE Firm \_\_\_\_\_  
 Address \_\_\_\_\_  
 Telephone No. \_\_\_\_\_  
 I.R.S. No. \_\_\_\_\_

*(List additional M/WBEs, if any, on additional page and attach to this form)*

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

**MBE/WBE BREAKDOWN SHEET**

**MBE FIRMS:**

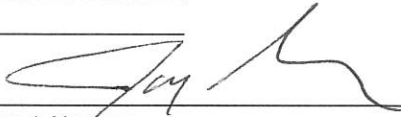
<u>Name of MBE Firm</u>	<u>Supplier/Broker/Contractor</u>	<u>Subcontract Amount*</u>	<u>Weighted Value**</u>	<u>% of Total Contract</u>
<u>Anlab Environmental</u>	<u>Contractor</u>	<u>\$ 104,612.00</u>	<u>\$ 104,612.00</u>	<u>15.00</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
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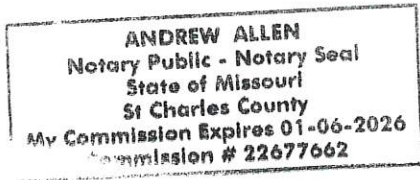
- 6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.
- 7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve
- 8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.
- 9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

Bidder/Proposer primary contact: Jay Giesler, Branch Manager  
 Address: 717 Crown Industrial Ct., Suite A-C, Chesterfield, MO 63005  
 Phone Number: 636-383-8328  
 Facsimile number: \_\_\_\_\_  
 E-mail Address: jgiesler@alloygroup.com

By:   
 Title: Branch Manager  
 Date: 2-13-23  
 (Attach corporate seal if applicable)

Subscribed and sworn to before me this 13 day of February, 2023.

My Commission Expires: 01-06-2026   
 Notary Public



CITY OF KANSAS  
HEART OF THE NATION



KANSAS CITY  
MISSOURI

# LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI:

Updated LOI:

Project Name/Title City Hall Parking Garage LBP Abatement Services

Project Location/Number 07P23039

**PART I:** Prime Contractor Alloy Group agrees to enter into a contractual agreement with M/W/DBE Subcontractor Anlab Environmental who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]  
Providing lead abatement crew with Laborers Local #264 union members

for an estimated amount of \$ \_\_\_\_\_ (or 15 % of the total estimated contract value.)

- M/WBE Vendor type:
- Subcontractor/manufacturer (counts as 100% of contract value towards goals)
  - Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
  - Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

**PART 2:** This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

- Select one:
- The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
  - The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: ANLAB

Full address: 3634 TROOST AVE 64109  
Street number and name City, State and Zip Code

Primary contact: BOBBY MCNEEL  
Name Phone

a) This subcontractor is (select one):  MBE  WBE  DBE  N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: ENVIRONMENTAL

c) The dollar value of this agreement is: \_\_\_\_\_

**PART 3:**

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);  
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Alloy Group  
\_\_\_\_\_  
Signature: Prime Contractor Jay Giesler Print Name  
Branch Manager 2-10-2023  
Title Date

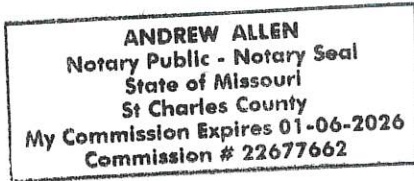
State of MO )  
County of St Louis )

I, Andrew Allen, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 13th day of Feb, 20 23

My Commission Expires: 01-06-2026 [Signature]  
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Ortal Environmental  
\_\_\_\_\_  
Signature: Subcontractor Bobby E. Hancock Print Name  
President 2-10-23  
Title Date

State of Missouri )  
County of Jackson )

I, Angela M. Hawkins, state that the above and foregoing is based on my best knowledge and belief.

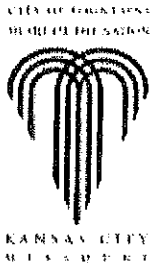
Subscribed and sworn to before me, a notary public, on this day of 10, 20 23

My Commission Expires: 09/15/2025 Angela M. Hawkins  
Notary Public

STAMP:







# LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI:

Updated LOI:

Project Name/Title City Hall Parking Garage LBP Abatement Services

Project Location/Number 07P23039

**PART 1:** Prime Contractor Alloy Group agrees to enter into a contractual agreement with M/W/DBE Subcontractor New Horizon Enterprises, LLC who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]  
Environmental Monitoring/Testing, Equipment/Material and Hauling/Disposal

for an estimated amount of \$ \_\_\_\_\_ (or 15 % of the total estimated contract value.)

- M/WBE Vendor type:
- Subcontractor/manufacturer (counts as 100% of contract value towards goals)
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- The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
  - The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: \_\_\_\_\_

Full address: \_\_\_\_\_  
Street number and name City, State and Zip Code

Primary contact: \_\_\_\_\_  
Name Phone

a) This subcontractor is (select one):  MBE  WBE  DBE  N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

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b) Scope of work to be performed: \_\_\_\_\_

c) The dollar value of this agreement is: \_\_\_\_\_



**PART 3:**

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);  
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: Alloy Group

[Signature]  
Signature: Prime Contractor  
Branch Manager  
Title

Jay Giesler  
Print Name  
2-10-2023  
Date

State of MO )

County of St Louis )

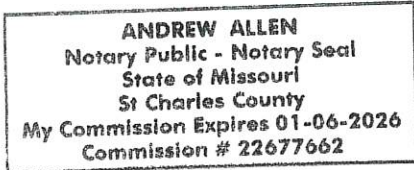
I, Andrew Allen, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 13<sup>th</sup> day of Feb, 20 23

My Commission Expires: 01-06-2023

[Signature]  
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: New Horizons Enterprises, LLC

[Signature]  
Signature: Subcontractor  
President  
Title

Stephanie Isaacson  
Print Name  
2/13/2023  
Date

State of Missouri )

County of Jackson )

I, Stephanie Isaacson, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 13 day of February, 20 23

My Commission Expires: 9/9/25

[Signature]  
Notary Public

STAMP:

