



CONTRACTOR UTILIZATION PLAN/REQUEST FOR WAIVER

Project Number 80002471

Project Title Brooklyn Ave. to Hardesty Ave., E. 9th St. to E. 24th St.

Contract No. 9812
(Department Project)

Water Services
Department

HNTB Corporation

(Bidder/Proposer)

STATE OF Missouri)
COUNTY OF Clay) ss

I, Kevin Wallace, of lawful age and upon my oath state as follows:

1. This Affidavit is made for the purpose of complying with the provisions of the MBE/WBE submittal requirements on the above project and the MBE/WBE Program and is given on behalf of the Bidder/Proposer listed below. It sets out the Bidder/Proposer's plan to utilize MBE and/or WBE contractors on the project.
2. The project target goals are 14 % MBE and 14 % WBE.
3. Bidder/Proposer assures that it will utilize a minimum of the following percentages of MBE/WBE participation in the above project:

| **BIDDER/PROPOSER PARTICIPATION:** 14 % MBE 14 % WBE

| **POST-BID/POST-RFP ESTIMATED BUDGET:** \$

4. The following are the M/WBE subcontractors whose utilization Bidder/Proposer warrants will meet or exceed the above-listed Bidder/Proposer Participation. Bidder/Proposer warrants that it will utilize the M/WBE subcontractors to provide the goods/services described in the applicable Letter(s) of Intent to Subcontract, copies of which shall collectively be deemed incorporated herein). (*All firms must currently be certified by Kansas City, Missouri*)

| Name of M/WBE Firm TREKK Design Group
Address 1411 E 104th Street, Kansas City, MO 64131
Telephone No. 816-874-4655
I.R.S. No. 43-1953275



Name of M/WBE Firm Lovlace & Associates
 Address 929 SE 3rd Street, Lee's Summit, Missouri 64063
 Telephone No. 816-347-9997
 I.R.S. No. 43-1853091

Name of M/WBE Firm _____
 Address _____
 Telephone No. _____
 I.R.S. No. _____

Name of M/WBE Firm _____
 Address _____
 Telephone No. _____
 I.R.S. No. _____

Name of M/WBE Firm _____
 Address _____
 Telephone No. _____
 I.R.S. No. _____

Name of M/WBE Firm _____
 Address _____
 Telephone No. _____
 I.R.S. No. _____

(List additional M/WBEs, if any, on additional page and attach to this form)

4. The following is a breakdown of the percentage of the total contract amount that Bidder/Proposer agrees to pay to each listed M/WBE:

MBE/WBE BREAKDOWN SHEET

MBE FIRMS:

Name of MBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
Lovlace & Associates	Contractor	\$ 120,509.00	\$ 120,509.00	14.00
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____



TOTAL MBE \$ / TOTAL MBE %:	<u>\$ 120,509.00</u>	<u>14.00 %</u>
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WBE FIRMS:

Name of WBE Firm	Supplier/Broker/Contractor	Subcontract Amount*	Weighted Value**	% of Total Contract
TREKK Design Group	Contractor	\$ 120,509.00	\$ 120,509.00	14.00
TOTAL WBE \$ / TOTAL WBE %:		<u>\$ 120,509.00</u>		<u>14.00 %</u>

*“Subcontract Amount” refers to the dollar amount that Bidder/Proposer has agreed to pay each M/WBE subcontractor as of the date of contracting and is indicated here solely for the purpose of calculating the percentage that this sum represents in proportion to the total contract amount. Any contract amendments and/or change orders changing the total contract amount may alter the amount due an M/WBE under their subcontract for purposes of meeting or exceeding the Bidder/Proposer participation.

***“Weighted Value” means the portion of the subcontract amount that will be credited towards meeting the Bidder/Proposer participation. See CREO KC Forms and Instructions for allowable credit and special instructions for suppliers.

5. Bidder/Proposer acknowledges that the monetary amount to be paid each listed M/WBE for their work, and which is approved herein, is an amount corresponding to the percentage of the total contract amount allocable to each listed M/WBE as calculated in the MBE/WBE Breakdown Sheet. Bidder/Proposer further acknowledges that this amount may be higher than the subcontract amount listed therein as change orders and/or amendments changing the total contract amount may correspondingly increase the amount of compensation due an M/WBE for purposes of meeting or exceeding the Bidder/Proposer participation



6. Bidder/Proposer acknowledges that it is responsible for considering the effect that any change orders and/or amendments changing the total contract amount may have on its ability to meet or exceed the Bidder/Proposer participation. Bidder/Proposer further acknowledges that it is responsible for submitting a Request for Modification or Substitution if it will be unable to meet or exceed the Bidder/Proposer participation set forth herein.
7. If Bidder/Proposer has not achieved both the M/WBE goal(s) set for this Project, Bidder/Proposer hereby requests a waiver of the MBE and/or WBE goal(s) that Bidder/Proposer has failed to achieve
8. Bidder/Proposer will present documentation of its good faith efforts, a narrative summary detailing its efforts and the reasons its efforts were unsuccessful when requested by the City.
9. I hereby certify that I am authorized to make this Affidavit on behalf of the Bidder/Proposer named below and who shall abide by the terms set forth herein:

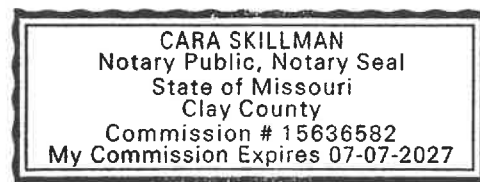
Bidder/Proposer primary contact: Heidi Lane
Address: 601 E Locust St. Suite 200, Des Moines, IA 50309

Phone Number: 515-259-8407
Facsimile number: N/A
E-mail Address: HLane@hntb.com

By: [Signature]
Title: Vice President
Date: 2/19/2024
(Attach corporate seal if applicable)

Subscribed and sworn to before me this 19 day of February, 2024.

My Commission Expires: July 7, 2027 [Signature]
Notary Public





LETTER OF INTENT TO SUBCONTRACT

Check one:
Original LOI: ☒
Updated LOI: ☐

Project Name/Title Brooklyn Ave. to Hardesty Ave., E. 9th St. to E. 24th St.

Project Location/Number 80002471/9812

PART I: Prime Contractor HNTB Corporation agrees to enter into a contractual agreement with M/W/DBE Subcontractor Lovelace & Associates who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]

Design surveying services including topographic survey, survey controls, utility coordination, and easement descriptions to facilitate generation of design drawings for the water main improvements

for an estimated amount of \$ 120,509 (or 14 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacture (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: HNTB Corporation

[Signature]
Signature: Prime Contractor
Vice President
Title

Kevin Wallace
Print Name
2/19/2024
Date

State of Missouri)

County of Clay)

I, ~~Kevin Wallace~~ Cara Skillman, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 19
day of February 20 24

My Commission Expires: July 7, 2027 Cara Skillman
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: Lovelace & Associates

[Signature]
Signature: Subcontractor
PRESIDENT
Title

Aaron Thomas
Print Name
02/15/2024
Date

State of Missouri)

County of Jackson)

I, Kathleen Marie Brill, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this
day of 2/15, 20 24

My Commission Expires: 10-05-2024 Kathleen Marie Brill
Notary Public

STAMP:

KATHLEEN MARIE BRILL
NOTARY PUBLIC-NOTARY SEAL
STATE OF MISSOURI
JACKSON COUNTY
COMMISSION # 20010575
MY COMMISSION EXPIRES: OCT. 5, 2024



LETTER OF INTENT TO SUBCONTRACT

Check one:

Original LOI: ☒

Updated LOI: ☐

Project Name/Title Brooklyn Ave. to Hardesty Ave., E. 9th St. to E. 24th St.

Project Location/Number 80002471/9812

PART I: Prime Contractor HNTB Corporation agrees to enter into a contractual agreement with M/W/DBE Subcontractor TREKK Design Group who will provide the following goods/services in connection with the above-reference contract: [Insert a brief narrative describing goods/services to be provided. Broad Categorizations (e.g., "electrical," "plumbing," etc.) or the listing of NAICS Codes in which M/W/DBE Subcontractor is certified are insufficient and may result in denial of this Letter of Intent to Subcontract.]
Design surveying services including field and record investigation, pipeline route surveys, and utility coordination.

for an estimated amount of \$ 120,509 (or 14 % of the total estimated contract value.)

M/WBE Vendor type: ☒ Subcontractor/manufacturer (counts as 100% of contract value towards goals)
☐ Supplier (counts as 60% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)
☐ Broker (counts as 10% of the total dollar amount paid or to be paid by a prime contractor for supplies or goods towards goals)

M/W/DBE Subcontractor is, to the best of Prime Contractor's knowledge, currently certified with the City of Kansas City's Civil Rights & Equal Opportunity Department to perform in the capacities indicated herein. Prime Contractor agrees to utilize M/W/DBE Subcontractor in the capacities indicated herein, and M/W/DBE Subcontractor agrees to work on the above-referenced contract in the capacities indicated herein, contingent upon award of the contract to Prime Contractor.

PART 2: This section is to be completed by the M/W/DBE subcontractor listed above. Please attach additional sheets as needed for more than one intended sub-tier contract. **IMPORTANT: Falsification of this document will result in denial and other remedies available under City Code.**

Select one: ☒ The M/W/DBE Subcontractor listed above **IS NOT** subcontracting any portions of the above-stated scope of work(s). (Continue to Part 3.)
☐ The M/W/DBE Subcontractor listed above **IS** subcontracting certain portions of the above stated scope of work(s) to:

(1) Company name: _____

Full address: _____

Street number and name

City, State and Zip Code

Primary contact: _____

Name

Phone

a) This subcontractor is (select one): ☐ MBE ☐ WBE ☐ DBE ☐ N/A

i: If this subcontractor is an M/W/DBE certified with the City of Kansas City, Missouri, a separate Letter of Intent must be attached to this document.

ii. If this subcontractor is NOT a certified M/W/DBE certified with the City of Kansas City, Missouri, the firm must still be listed for reporting purposes but a Letter of Intent is not required.

b) Scope of work to be performed: _____

c) The dollar value of this agreement is: _____



PART 3:

**NOTE: SIGNATURES AND NOTARIZATIONS REQUIRED FOR NEW LETTERS OF INTENT (LOI);
SIGNATURES ONLY FOR UPDATED LOI (ADDING VALUE TO EXISTING CONTRACT).**

PRIME CONTRACTOR BUSINESS NAME: HNTB Corporation

[Signature]
Signature: Prime Contractor
Vice President
Title

Kevin Wallace

Print Name

Date

2/19/2024

State of Missouri)

County of Clay)

I, ~~Kevin Wallace~~ Cara Skillman, state that the above and foregoing is based on my best knowledge and belief.

Subscribed and sworn to before me, a notary public, on this 19th day of February 2024

My Commission Expires:

July 7, 2027

[Signature]
Notary Public

STAMP:



MWDBE SUBCONTRACTOR BUSINESS NAME: TREKK Design Group

[Signature]
Signature: Subcontractor
CEO/Managing Member
Title

Print Name

Date

Kimberly Robinson
2/16/24

State of Missouri)

County of Jackson)

I, Linda Dodson, state that the above and foregoing is based on my best knowledge and belief.

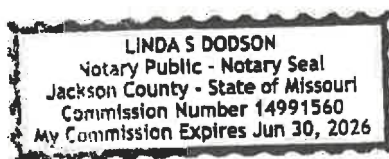
Subscribed and sworn to before me, a notary public, on this 16th day of February 2024

My Commission Expires:

6/30/2026

[Signature]
Notary Public

STAMP:



TIMETABLE FOR MBE/WBE UTILIZATION

(This form should be submitted to the City after contract award.)

I, Kevin Wallace, acting in my capacity as Vice President
 of HNTB Corporation, with the submittal of this Timetable, certify that
 the following timetable for MBE/WBE utilization in the fulfillment of this contract is correct and
 true to the best of my knowledge.

ALLOTED TIME FOR THE COMPLETION OF THIS CONTRACT

(Check one only)

15 days ☐ 75 days ☐ 135 days ☐
 30 days ☐ 90 days ☐ 150 days ☐
 45 days ☐ 105 days ☐ 165 days ☐
 60 days ☐ 120 days ☐ 180 days ☐
 Other 365 (Specify)

Throughout	x		Beginning 1/3		
Middle 1/3			Final 1/3		
Beginning 1/3	50	%	Middle 1/3	40	%
			Final 1/3	10	%

PLEASE NOTE: Any changes in this timetable require approval of the Civil Rights & Equal Opportunity Department in advance of the change.

If you have any questions regarding the completion of this form, please contact the Civil Rights & Equal Opportunity Department at: (816) 513-1836.

(Signature)

Vice President
(Position with Firm)

2/19/2024
(Date)

